

# Topic 5

## Aperture Antennas

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- 1 Balanced Maxwell's Equations
  - The Vector Potential for an Electric Current Source
  - The Vector Potential for a Magnetic Current Source
- 2 Field Equivalence Principle: Huygen's Principle
- 3 Image Theory
- 4 Waveguide Aperture in an Infinite Ground Plane
  - Rectangular Aperture in an Infinite Conductor
  - Circular Aperture
- 5 Aperture Effective Area and Aperture Efficiency
  - Aperture Effective Area
  - Aperture Efficiency

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# Balanced Maxwell's Equations

Equations due to Electric Current,

$$\begin{aligned}\nabla \times \mathbf{E}_A &= -j\omega\mu\mathbf{H}_A \\ \nabla \times \mathbf{H}_A &= j\omega\varepsilon\mathbf{E}_A + \mathbf{J} \\ \nabla \cdot \mathbf{E}_A &= \rho_e/\varepsilon \\ \nabla \cdot \mathbf{H}_A &= 0\end{aligned}$$

Equations due to Magnetic Current,

$$\begin{aligned}\nabla \times \mathbf{E}_F &= -j\omega\mu\mathbf{H}_F - \mathbf{M} \\ \nabla \times \mathbf{H}_F &= j\omega\varepsilon\mathbf{E}_F \\ \nabla \cdot \mathbf{E}_F &= 0 \\ \nabla \cdot \mathbf{H}_F &= \rho_m/\mu\end{aligned}$$

Balanced Maxwell's Equations due to Electric and Magnetic Current,

$$\begin{aligned}\nabla \times \mathbf{E} &= -j\omega\mu\mathbf{H} - \mathbf{M} \\ \nabla \times \mathbf{H} &= j\omega\varepsilon\mathbf{E} + \mathbf{J} \\ \nabla \cdot \mathbf{E} &= \rho_e/\varepsilon \\ \nabla \cdot \mathbf{H} &= \rho_m/\mu\end{aligned}$$

$$\mathbf{E} = \mathbf{E}_A + \mathbf{E}_F, \quad \mathbf{H} = \mathbf{H}_A + \mathbf{H}_F$$

Continuity Equations,

$$\nabla \cdot \mathbf{J} = -j\omega\rho_e, \quad \nabla \cdot \mathbf{M} = -j\omega\rho_m$$

# The Vector Potential for an Electric Current Source

$$\nabla \times \mathbf{E}_A = -j\omega\mu\mathbf{H}_A$$

$$\nabla \times \mathbf{H}_A = j\omega\epsilon\mathbf{E}_A + \mathbf{J}$$

$$\nabla \cdot \mathbf{E}_A = \rho_e/\epsilon$$

$$\nabla \cdot \mathbf{H}_A = 0$$

$$\mathbf{H}_A = \frac{1}{\mu} \nabla \times \mathbf{A}, \quad \mathbf{E}_A = -\nabla\phi_e - j\omega\mathbf{A}$$

If ,  $\phi_e = -\frac{1}{j\omega\mu\epsilon} \nabla \cdot \mathbf{A}$ , then  $\nabla^2 \mathbf{A} + k^2 \mathbf{A} = -\mu\mathbf{J}$

$$\mathbf{E}_A = -\nabla\phi_e - j\omega\mathbf{A} = -j\omega\mathbf{A} - j\frac{1}{\omega\mu\epsilon} \nabla(\nabla \cdot \mathbf{A})$$

# The Vector Potential for a Magnetic Current Source

$$\nabla \times \mathbf{E}_F = -j\omega\mu\mathbf{H}_F - \mathbf{M}$$

$$\nabla \times \mathbf{H}_F = j\omega\varepsilon\mathbf{E}_F$$

$$\nabla \cdot \mathbf{E}_F = 0$$

$$\nabla \cdot \mathbf{H}_F = \rho_m/\varepsilon$$

$$\mathbf{E}_F = -\frac{1}{\varepsilon}\nabla \times \mathbf{F}, \quad \mathbf{H}_F = -\nabla\phi_m - j\omega\mathbf{F}$$

If,  $\phi_m = -\frac{1}{j\omega\mu\varepsilon}\nabla \cdot \mathbf{F}$ , then  $\nabla^2\mathbf{F} + k^2\mathbf{F} = -\varepsilon\mathbf{M}$

$$\mathbf{H}_F = -\nabla\phi_m - j\omega\mathbf{F} = -j\omega\mathbf{F} - j\frac{1}{\omega\mu\varepsilon}\nabla(\nabla \cdot \mathbf{F})$$

# Balanced Maxwell's Equations due to Electric and Magnetic Current

$$\nabla \times \mathbf{E} = -j\omega\mu\mathbf{H} - \mathbf{M}$$

$$\nabla \times \mathbf{H} = j\omega\varepsilon\mathbf{E} + \mathbf{J}$$

$$\nabla \cdot \mathbf{E} = \rho_e / \varepsilon$$

$$\nabla \cdot \mathbf{H} = \rho_m / \mu$$

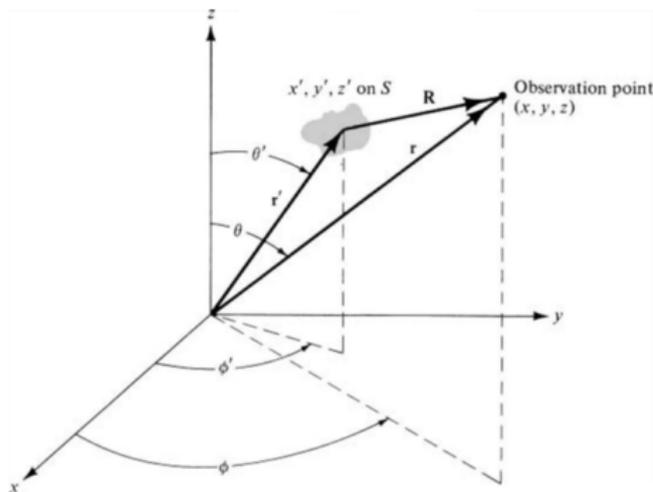
$$\mathbf{E} = \mathbf{E}_A + \mathbf{E}_F, \quad \mathbf{H} = \mathbf{H}_A + \mathbf{H}_F$$

$$\mathbf{E} = -j\omega\mathbf{A} - j\frac{1}{\omega\mu\varepsilon}\nabla(\nabla \cdot \mathbf{A}) - \frac{1}{\varepsilon}\nabla \times \mathbf{F}$$

$$\mathbf{H} = -j\omega\mathbf{F} - j\frac{1}{\omega\mu\varepsilon}\nabla(\nabla \cdot \mathbf{F}) + \frac{1}{\mu}\nabla \times \mathbf{A}$$

$$\nabla^2 \mathbf{A} + k^2 \mathbf{A} = -\mu \mathbf{J}$$

$$\nabla^2 \mathbf{F} + k^2 \mathbf{F} = -\varepsilon \mathbf{M}$$



$$\mathbf{A} = \frac{\mu}{4\pi} \iiint_V \mathbf{J} \frac{e^{-jkR}}{R} dv'$$

$$\mathbf{F} = \frac{\varepsilon}{4\pi} \iiint_V \mathbf{M} \frac{e^{-jkR}}{R} dv'$$

# Balanced Maxwell's Equations

## Radiation Fields

$$\mathbf{E} = \mathbf{E}_A + \mathbf{E}_F, \quad \mathbf{H} = \mathbf{H}_A + \mathbf{H}_F$$

$$\mathbf{E}_A(\theta, \phi) = -j\omega \mathbf{A}(\theta, \phi), \quad \mathbf{H}_F(\theta, \phi) = -j\omega \mathbf{F}(\theta, \phi)$$

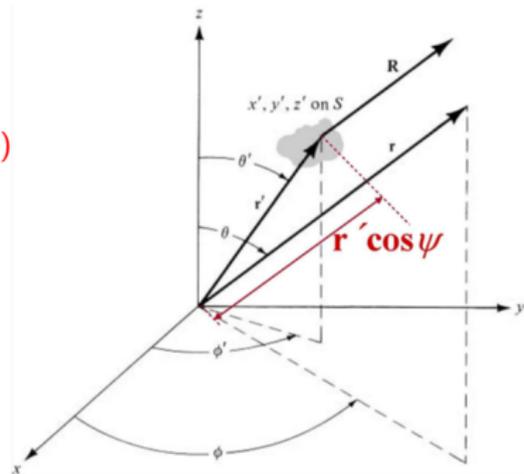
$$\mathbf{H}_A = \frac{1}{\eta} \hat{\mathbf{a}}_r \times \mathbf{E}_A(\theta, \phi), \quad \mathbf{E}_F = \eta \mathbf{H}_F(\theta, \phi) \times \hat{\mathbf{a}}_r$$

$$\mathbf{E} = -j\omega \mathbf{A}(\theta, \phi) - j\omega \eta \mathbf{F}(\theta, \phi) \times \hat{\mathbf{a}}_r$$

$$\mathbf{H} = -j\omega \mathbf{F}(\theta, \phi) - j\omega \frac{1}{\eta} \hat{\mathbf{a}}_r \times \mathbf{A}(\theta, \phi)$$

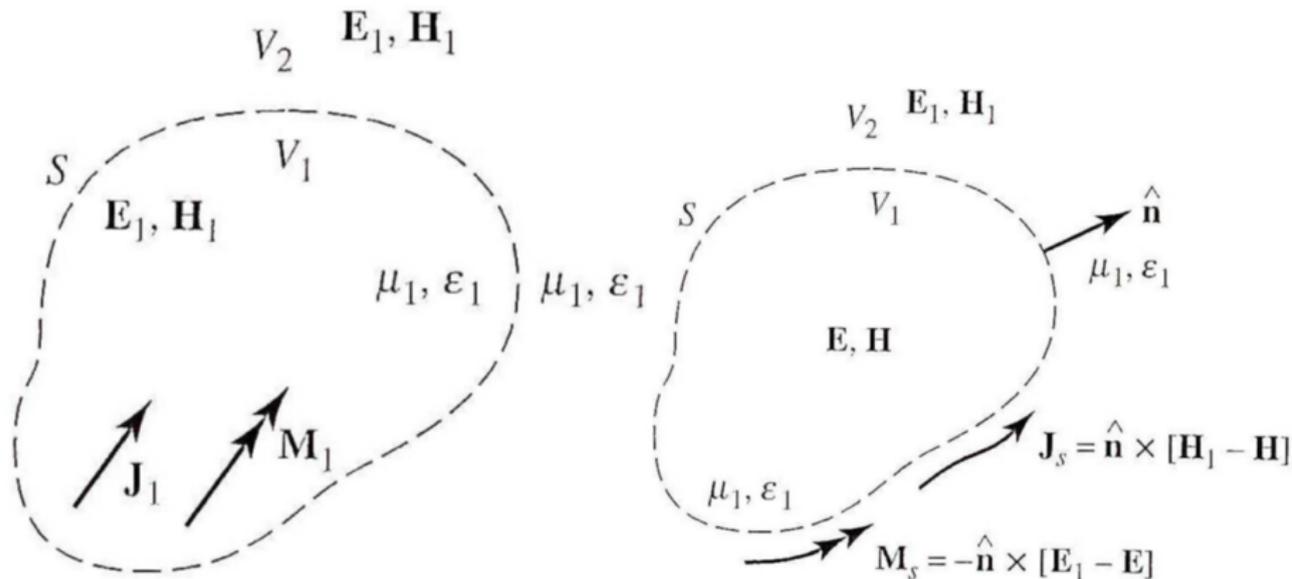
$$\mathbf{A} = \frac{\mu}{4\pi} \frac{e^{-jkr}}{r} \iiint_V \mathbf{J}(\mathbf{r}') e^{j\mathbf{k} \cdot \mathbf{r}'} dv', \quad \mathbf{F} = \frac{\varepsilon}{4\pi} \frac{e^{-jkr}}{r} \iiint_V \mathbf{M}(\mathbf{r}') e^{j\mathbf{k} \cdot \mathbf{r}'} dv'$$

$$\mathbf{k} = k \hat{\mathbf{a}}_r$$



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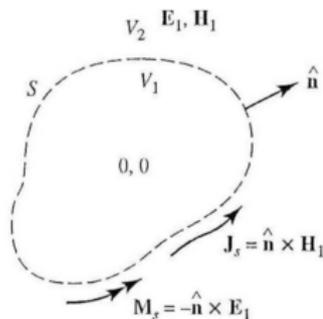
# Field Equivalence Principle: Huygen's Principle



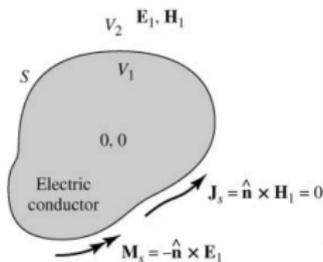
$$\mathbf{J}_s = \hat{\mathbf{n}} \times (\mathbf{H}_1 - \mathbf{H}), \quad \mathbf{M}_s = -\hat{\mathbf{n}} \times (\mathbf{E}_1 - \mathbf{E})$$

# Field Equivalence Principle: Huygen's Principle

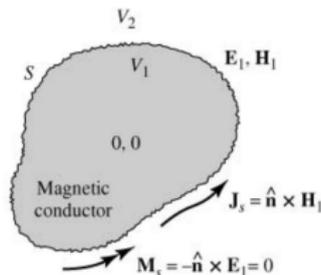
- Love's Equivalent



- Electric Conductor Equivalent



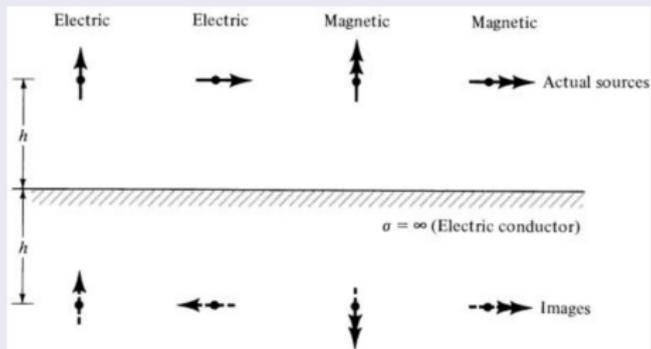
- Magnetic Conductor Equivalent



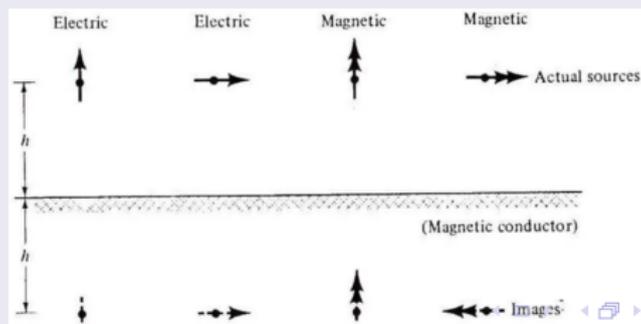
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# Image Theory

## Image for Electric Conductor

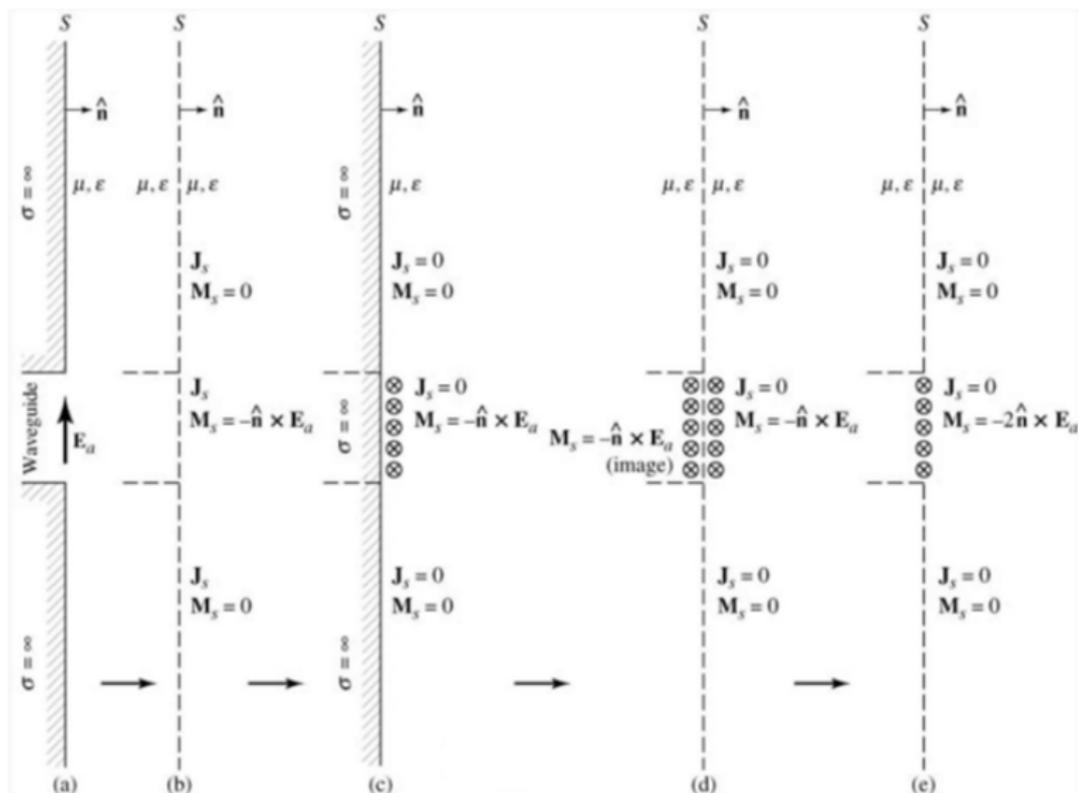


## Image for Magnetic Conductor

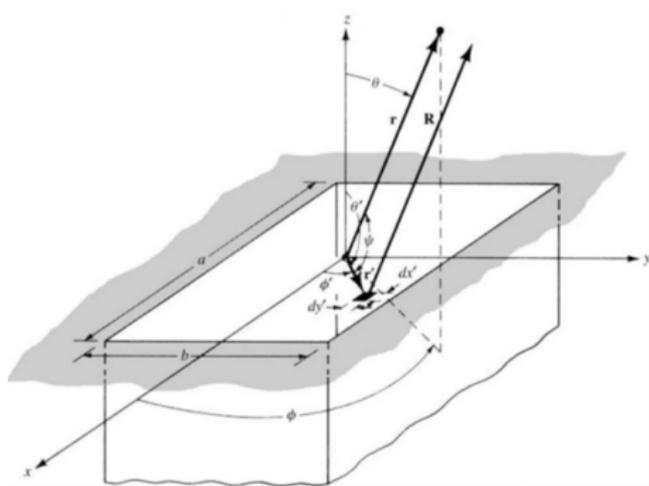


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# Equivalent Sources for Waveguide Aperture in an Infinite Ground Plane



# Radiation from a Rectangular Aperture with Uniform Distribution in an Infinite Conductor

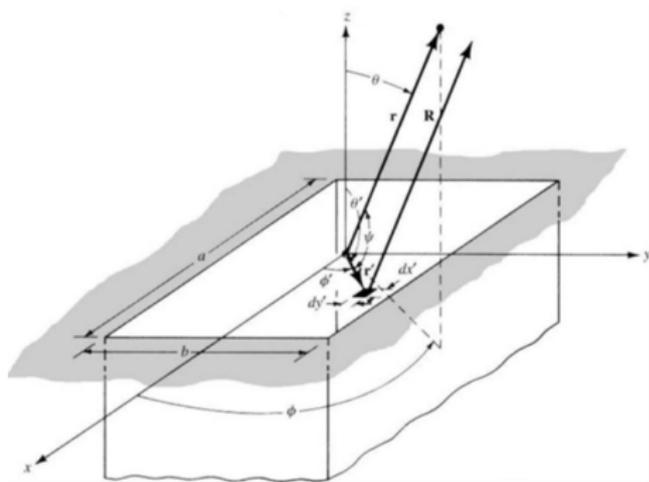


$$\mathbf{E} = \hat{\mathbf{a}}_y E_0, \quad -a/2 \leq x' \leq a/2, \quad -b/2 \leq y' \leq b/2$$

Equivalent Currents:

$$\mathbf{M}_s = \begin{cases} -2\hat{\mathbf{n}} \times \mathbf{E}, & -a/2 \leq x' \leq a/2, \quad -b/2 \leq y' \leq b/2 \\ \mathbf{0} & \text{elsewhere} \end{cases}, \quad \mathbf{J}_s = \mathbf{0}$$

# Radiation from a Rectangular Aperture with Uniform Distribution in an Infinite Conductor

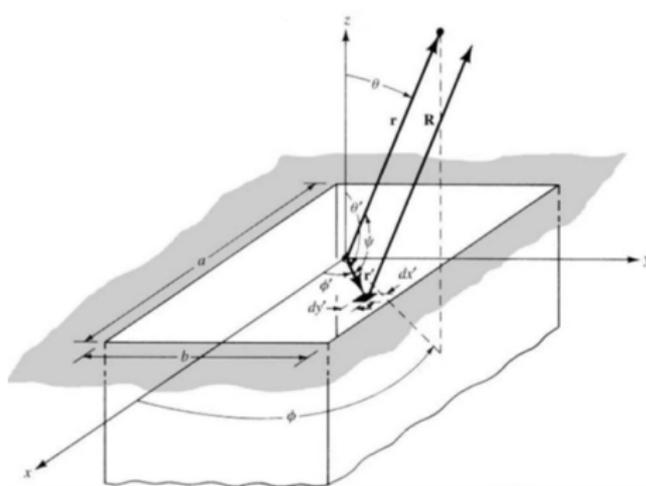


$$E_{\theta} = j \frac{abkE_0 e^{-jkr}}{2\pi r} \left[ \sin \phi \left( \frac{\sin X}{X} \right) \left( \frac{\sin Y}{Y} \right) \right]$$

$$E_{\phi} = j \frac{abkE_0 e^{-jkr}}{2\pi r} \left[ \cos \theta \cos \phi \left( \frac{\sin X}{X} \right) \left( \frac{\sin Y}{Y} \right) \right]$$

where  $X = kasin \theta \cos \phi / 2$ ,  $Y = kbsin \theta \sin \phi / 2$

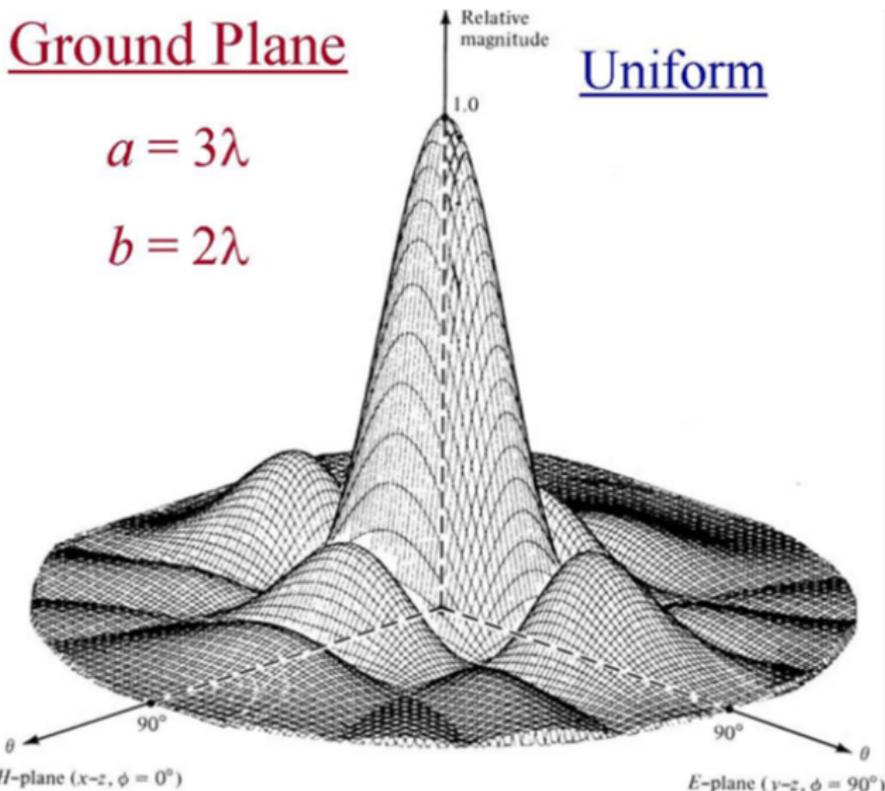
# Radiation from a Rectangular Aperture with Uniform Distribution in an Infinite Conductor



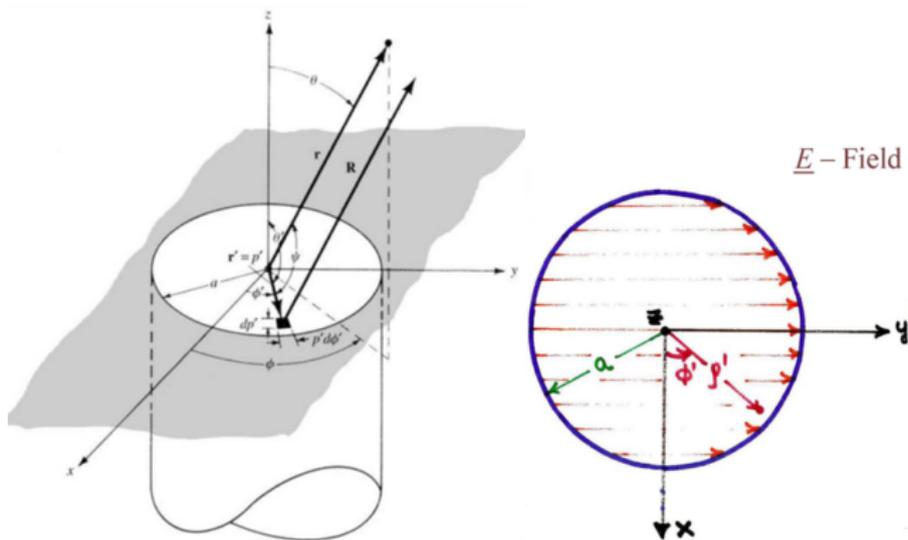
$$P_{\text{rad}} = \iint_{S_0} \mathbf{W}_{\text{av}} \cdot d\mathbf{s} = ab \frac{|E_0|^2}{2\eta}$$

$$D = \frac{4\pi U}{P_{\text{rad}}}, \quad D_0 = \frac{4\pi}{\lambda^2} A_p, \quad \text{where } A_p = ab$$

# Radiation from a Rectangular Aperture with Uniform Distribution in an Infinite Conductor



# Circular Aperture in Ground Plane Illuminated with Uniform Field



$$\mathbf{E}_a = E_0 \hat{\mathbf{a}}_y$$

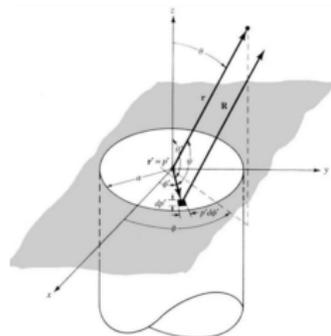
$$\mathbf{M}_s = -2\hat{\mathbf{n}} \times \mathbf{E}_a = 2E_0 \hat{\mathbf{a}}_x$$

# Circular Aperture in Ground Plane with Uniform Illumination

$$\mathbf{M}_s = -2\hat{\mathbf{n}} \times \mathbf{E}_a = 2E_0\hat{\mathbf{a}}_x$$

$$\cos \psi = \sin \theta \cos (\phi - \phi')$$

$$\begin{bmatrix} M_r \\ M_\theta \\ M_\phi \end{bmatrix} = \begin{bmatrix} \sin \theta \cos \phi & \sin \theta \sin \phi & \cos \theta \\ \cos \theta \cos \phi & \cos \theta \sin \phi & -\sin \theta \\ -\sin \phi & \cos \phi & 0 \end{bmatrix} \begin{bmatrix} M_x \\ M_y \\ M_z \end{bmatrix}$$



$$H_\theta = \frac{-j\omega E_0 \epsilon e^{-jkr}}{2\pi r} \cos \theta \cos \phi \iint e^{jk\rho' \sin \theta \cos(\phi - \phi')} \rho' d\phi' d\rho'$$

$$H_\phi = \frac{j\omega E_0 \epsilon e^{-jkr}}{2\pi r} \sin \phi \iint e^{jk\rho' \sin \theta \cos(\phi - \phi')} \rho' d\phi' d\rho'$$

$$E_\theta = \eta H_\phi = \frac{jkE_0 e^{-jkr}}{2\pi r} \sin \phi \iint e^{jk\rho' \sin \theta \cos(\phi - \phi')} \rho' d\phi' d\rho'$$

$$E_\phi = -\eta H_\theta = \frac{jkE_0 e^{-jkr}}{2\pi r} \cos \theta \cos \phi \iint e^{jk\rho' \sin \theta \cos(\phi - \phi')} \rho' d\phi' d\rho'$$

# Circular Aperture in Ground Plane with Uniform Illumination

Employing the two integral Identities:

$$\int_{2\pi} e^{jx \cos \phi'} d\phi' = 2\pi J_0(x)$$

$$\int x J_0(\alpha x) dx = \frac{1}{\alpha} x J_1(\alpha x) + C$$

$$E_\theta = \frac{jka^2 E_0 e^{-jkr}}{r} \sin \phi \frac{J_1(k a \sin \theta)}{k a \sin \theta}$$

$$E_\phi = \frac{jka^2 E_0 e^{-jkr}}{r} \cos \theta \cos \phi \frac{J_1(k a \sin \theta)}{k a \sin \theta}$$

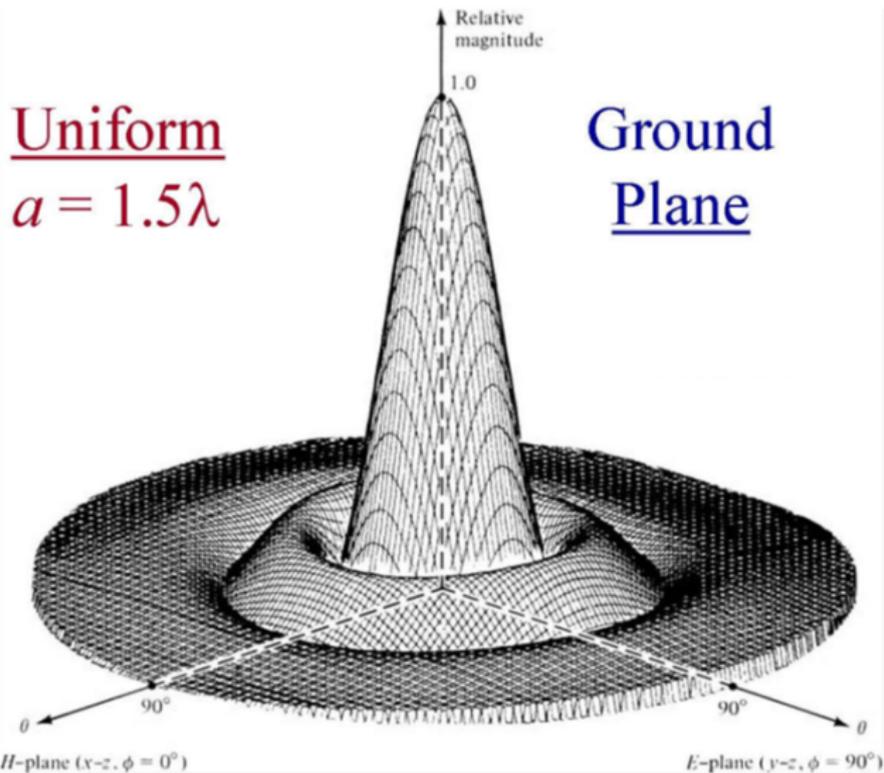
$$P_{\text{rad}} = \frac{|E_0|^2}{2\eta} \pi a^2, \quad U = \frac{|E_0|^2}{2\eta} k^2 a^4 (\sin^2 \phi + \cos^2 \theta \cos^2 \phi) \left[ \frac{J_1(k a \sin \theta)}{k a \sin \theta} \right]^2$$

$$D = \frac{4\pi U}{P_{\text{rad}}} = 4k^2 a^2 (\sin^2 \phi + \cos^2 \theta \cos^2 \phi) \left[ \frac{J_1(k a \sin \theta)}{k a \sin \theta} \right]^2$$

$$D_{\text{max}}|_{\theta=0} = \frac{4\pi}{\lambda^2} (\pi a^2),$$

$$\text{use } \lim_{x \rightarrow 0} \frac{J_1(x)}{x} = \frac{1}{2}$$

# Circular Aperture in Ground Plane with Uniform Illumination



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# Aperture Effective Area

For Uniformly Illuminated Aperture,

$$D_{\max} = \frac{4\pi}{\lambda^2} A_p, \quad \text{where } A_p \text{ is the aperture physical area.}$$

In general for no uniform illumination, maximum radiation is still at  $\theta = 0$ ,

$$|E_{\text{rad}}|_{\max} = \frac{k}{2\pi r} \left| \iint_{A_p} \mathbf{E}_a ds' \right|$$

$$U_{\max} = \frac{1}{2\eta} \left( \frac{k}{2\pi} \left| \iint_{A_p} \mathbf{E}_a ds' \right| \right)^2, \quad P_{\text{rad}} = \frac{1}{2\eta} \iint_{A_p} |\mathbf{E}_a|^2 ds'$$

$$D_{\max} = \frac{4\pi U_{\max}}{P_{\text{rad}}} = \frac{4\pi}{\lambda^2} A_{em}, \quad \text{where } A_{em} \text{ is the maximum effective area.}$$

$$A_{em} = \frac{\left| \iint_{A_p} \mathbf{E}_a ds' \right|^2}{\iint_{A_p} |\mathbf{E}_a|^2 ds'} \leq A_p$$

## Definition

Aperture Efficiency  $\epsilon_{ap}$  is defined as,

$$\epsilon_{ap} = \frac{A_{em}}{A_p},$$

where  $A_{em}$  is the *maximum effective area* and  $A_p$  is aperture *physical area*.

$$\epsilon_{ap} = \frac{\left| \iint_{A_p} \mathbf{E}_a ds' \right|^2}{A_p \iint_{A_p} |\mathbf{E}_a|^2 ds'}$$